

## PROCUREMENT PLAN

### Basic Data

<b>Project Name:</b> Second Greater Mekong Subregion Tourism Infrastructure for Inclusive Growth Project	
<b>Project Number:</b> 49387-002	<b>Approval Number:</b> 0599
<b>Country:</b> Lao People's Democratic Republic	<b>Executing Agency:</b> Ministry of Information, Culture and Tourism
<b>Project Procurement Risk:</b>	<b>Implementing Agency:</b> N/A
<b>Project Financing Amount:</b> US\$ 48,800,000 <b>ADB Financing:</b> US\$ 47,000,000 <b>Cofinancing (ADB Administered):</b> <b>Non-ADB Financing:</b> US\$ 1,800,000	<b>Project Closing Date:</b> 30 June 2025
<b>Date of First Procurement Plan:</b> 31 August 2018	<b>Date of this Procurement Plan:</b> 18 November 2020, Version 4
<b>Advance Contracting:</b> Yes	<b>e-GP:</b> No

### A. Methods, Thresholds, Review and 18-Month Procurement Plan

#### 1. Procurement and Consulting Methods and Thresholds

Except as the Asian Development Bank (ADB) may otherwise agree, the following process thresholds shall apply to procurement of goods and works.

Procurement of Goods and Works		
Method	Threshold	Comments
International Competitive Bidding for Goods	US\$ 1,000,000 and Above	Per PAM: threshold for ICB for Goods is \$500,000 and above
National Competitive Bidding for Goods	Between US\$ 100,000 and US\$ 999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Goods	Up to US\$ 99,999	
International Competitive Bidding for Works	US\$ 3,000,000 and Above	Per PAM: threshold for ICB for works is \$1,000,000 and above
National Competitive Bidding for Works	Between US\$ 100,000 and US\$ 2,999,999	The first NCB is subject to prior review, thereafter post review.
Shopping for Works	Up to US\$ 99,999	

Consulting Services	
Method	Comments
Quality- and Cost-Based Selection for Consulting Firm	
Individual Consultant Selection for Individual Consultant	

#### 2. Goods and Works Contracts Estimated to Cost \$1 Million or More

The following table lists goods and works contracts for which the procurement activity is either ongoing or expected to commence within the next 18 months.

Ministry of Information, Culture and Tourism

Package Number	General Description	Estimated Value	Procurement Method	Review (Prior/	Bidding Procedure	Advertisement Date	Comments
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				Post)		(quarter/year)	
TIIGP2-LA O-W02	Nam Ngum Reservoir Access Improvements	7,000,000.00	ICB	Prior	1S1E	Q3 / 2020	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No  Comments: Updated estimated value based on final engineer's estimate. Inclusive of taxes and duties.
TIIGP2-LA O-W03	Kaeng Yui Waterfall Access Improvements and Western Loop Rural Access Road and Bridge Improvements	13,000,000.00	ICB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Large Works  Covid-19 Response? No  Comments: Inclusive of taxes and duties.
TIIGP2-LA O-W03a	Vang Vieng Urban Renewal	2,000,000.00	NCB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Small Works  Covid-19 Response? No  Comments: Inclusive of taxes and duties.
TIIGP2-LA O-W04	Vang Vieng Landfill Improvements	3,600,000.00	ICB	Prior	1S1E	Q3 / 2020	Prequalification of Bidders: N  Domestic Preference Applicable: N  Advance Contracting: N  Bidding Document: Small Works

								Covid-19 Response? No  Comments: Updated estimated value based on final engineer's estimate. Inclusive of taxes and duties.
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### 3. Consulting Services Contracts Estimated to Cost \$100,000 or More

The following table lists consulting services contracts for which the recruitment activity is either ongoing or expected to commence within the next 18 months.

Package Number	General Description	Estimated Value	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
None							

### 4. Goods and Works Contracts Estimated to Cost Less than \$1 Million and Consulting Services Contracts Less than \$100,000 (Smaller Value Contracts)

The following table lists smaller-value goods, works and consulting services contracts for which the activity is either ongoing or expected to commence within the next 18 months.

Ministry of Information, Culture and Tourism

Goods and Works								
Package Number	General Description	Estimated Value	Number of Contracts	Procurement Method	Review (Prior/ Post)	Bidding Procedure	Advertisement Date (quarter/year)	Comments
TIIGP2-LA O-G01-02	PCU & PIU vehicles (2 units)	110,000.00	1	NCB	Prior	1S1E	Q4 / 2020	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Goods  Covid-19 Response? No  Comments: Inclusive of taxes and duties.
TIIGP2-LA O-G02	PCU office furniture and equipment	6,100.00	2	SHOPPING	Post		Q4 / 2020	Advanced Contracting: N  Covid-19

								Response? No  Comments: Various contracts. Inclusive of taxes and duties. Increased value based on confirmed needs.
TIIGP2-LA O-G03	PIU office furniture and equipment (4 PIUs)	5,100.00	1	SHOPPING	Post		Q3 / 2020	Advanced Contracting: N  Covid-19 Response? No  Comments: Various contracts. Inclusive of taxes and duties. Increased number of contracts to allow procurement of one planned contract in Q4 2020.
TIIGP2-LA O-G05	Equipment ASEAN Tourism Standards certification bodies	8,000.00	3	SHOPPING	Post		Q1 / 2021	Advanced Contracting: N  Covid-19 Response? No  Comments: Various contracts. Inclusive of taxes and duties. Increased number of contracts to allow procurement of additional planned contracts in 2021.
TIIGP2-LA O-G06	Equipment for ASEAN Tourism Standards implementation (public sector)	145,000.00	25	SHOPPING	Post		Q3 / 2020	Covid-19

								Response? No  Comments: Various contracts. Inclusive of taxes and duties. Reduced amount – savings used to create new package TIIGP2-LAO-W 06; increased number of contracts based on needs assessment.
TIIGP2-LA O-G07	Equipment for DMO secretariats	10,000.00	2	SHOPPING	Post		Q4 / 2020	Advanced Contracting: N  Covid-19 Response? No  Comments: Various contracts. Inclusive of taxes and duties. Increased number of contracts and amount based on needs.
TIIGP2-LA O-G08	Equipment for heritage interpretation	160,000.00	16	SHOPPING	Post		Q1 / 2021	Covid-19 Response? No  Comments: Various contracts. Inclusive of taxes and duties. Increased number of contracts and value based on heritage interpretation plans prepared for target destinations.
TIIGP2-LA O-G09	Equipment for SMEs to implement private	299,000.00	9	SHOPPING	Post		Q2 / 2021	

	sector-led actions in the DMPs							<p>Covid-19 Response? No</p> <p>Comments: Various contracts. Inclusive of taxes and duties. Procurement will follow set criteria for SME support by the project and SME need assessments to be undertaken in 2021.</p>
TIIGP2-LA O-W05	Small works for ASEAN Tourism Standards certification bodies	5,000.00	1	SHOPPING	Post		Q4 / 2020	<p>Covid-19 Response? No</p> <p>Comments: Inclusive of taxes and duties. New package separated from original package TIIGP2-LAO-G 05 to facilitate office improvement works. Budget reallocated from package TIIGP2-LAO-G 05.</p>
TIIGP2-LA O-W06	Small works for AESAN Tourism Standards implementation (public sector)	350,000.00	20	SHOPPING	Prior		Q4 / 2020	<p>Covid-19 Response? No</p> <p>Comments: Inclusive of taxes and duties. New package separated from original package TIIGP2-LAO-G 06 to facilitate small works for the implementation of ASEAN Tourism</p>

								Standards. Budget reallocated from package TIIGP2-LAO-G 06.
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Consulting Services								
Package Number	General Description	Estimated Value	Number of Contracts	Recruitment Method	Review (Prior/ Post)	Advertisement Date (quarter/year)	Type of Proposal	Comments
TIIGP2-LA O-C12	Tourism experts - various resource persons	78,000.00	22	ICS	Post (Sampling)	Q1 / 2021		Assignment: International  Expertise: Tourism  Comments: 22 Contracts to be recruited through SSS method. International and National. Inclusive of income tax on fees and VAT on reimbursable expenses and provisional sums. 5 contracts awarded.
TIIGP2-LA O-C18	International Social Safeguards Specialist	70,000.00	1	ICS	Prior	Q4 / 2020		Assignment: International  Expertise: Social Safeguards  Comments: New position required to provide social safeguards support for Output 1 after PMCES Consultant's international social safeguards expert inputs are exhausted. Inclusive of income tax on fees and VAT on reimbursable expenses and provisional sums.

## B. Indicative List of Packages Required Under the Project

The following table provides an indicative list of goods, works and consulting services contracts over the life of the project, other than those mentioned in previous sections (i.e., those expected beyond the current period).

### Ministry of Information, Culture and Tourism

Goods and Works							
Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
TIIGP2-LAO-G04	Vang Vieng landfill management equipment	1,300,000.00	2	ICB	Prior	1S1E	Prequalification of Bidders: N  Domestic Preference Applicable: N  Bidding Document: Goods  Covid-19 Response? No  Comments: Inclusive of taxes and duties. Increased estimated value from \$1.6M to \$1.3M as a result of adding 20 ton weighbridge, floating aerators and incinerator to optimize the functionality of the controlled landfill.
	Lot 1: TIIGP2-LAO-G04-Lot 1	650,000.00					
	Lot 2: TIIGP2-LAO-G04-Lot 2	650,000.00					

### Consulting Services

Package Number	General Description	Estimated Value (cumulative)	Estimated Number of Contracts	Procurement Method	Review (Prior/Post)	Bidding Procedure	Comments
None							

### C. List of Awarded and On-going, and Completed Contracts

The following tables list the awarded and on-going contracts, and completed contracts.

#### 1. Awarded and Ongoing Contracts

##### Ministry of Information, Culture and Tourism

##### Goods and Works

Package Number	General Description	Estimated Value	Awarded Contract Value	Procurement Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
TIIGP2-LA O-G05	Equipment ASEAN Tourism Standards certification bodies	18,500.00	7,731.10	SHOPPING	Q1 / 2021		PCSS G21439 and G21440
TIIGP2-LA O-G06	Equipment for ASEAN Tourism Standards implementation (public sector)	15,300.00	15,106.58	SHOPPING	Q3 / 2020		PCSS G21441 and G22185
TIIGP2-LA O-G09	Equipment for SMEs to implement private sector-led actions in the DMPs	11,160.00	10,970.04	SHOPPING	Q2 / 2021		PCSS G21584 and G22186
TIIGP2-LA O-G08	Equipment for heritage interpretation	11,200.00	10,904.80	SHOPPING	Q1 / 2021		PCSS G22249, G22188 and G22187
TIIGP2-LA O-G10	Project Accounting Software	25,000.00	22,990.00	SHOPPING	Q1 / 2020	30-JUN-20	PCSS G21571
TIIGP2-LA O-W01	Nakasang and Don Det-Don Khone Access Improvements	5,930,000.00	3,964,308.36	ICB	Q3 / 2019	30-DEC-19	PCSS G21291

##### Consulting Services

Package Number	General Description	Estimated Value	Awarded Contract Value	Recruitment Method	Advertisement Date (quarter/year)	Date of ADB Approval of Contract Award	Comments
TIIGP2-LA O-C01	Project Management and Civil Engineering Support	2,826,486.00	3,503,983.73	QCBS	Q3 / 2018	19-JUN-19	PCSS G20711 (USD) and G207712 (EUR)
TIIGP2-LA O-C05	International Gender Specialist	34,500.00	34,500.00	ICS	Q2 / 2019	21-AUG-19	PCSS G20823
TIIGP2-LA O-C09	International Monitoring and Evaluation Specialist	45,500.00	45,500.00	ICS	Q2 / 2019	28-AUG-19	PCSS G20994
TIIGP2-LA O-C16	International Natural and Cultural Heritage Specialist	22,311.00	22,252.00	ICS	Q3 / 2019	06-NOV-19	PCSS G21190
TIIGP2-LA	International	73,880.00	73,600.00	ICS	Q3 / 2019	06-NOV-19	PCSS G21189

O-C15	Tourism Management and Development Specialist						
TIIGP2-LA O-C02	International Tourism Specialist/Co-Team Leader	783,848.00	930,000.00	ICS	Q3 / 2018	14-FEB-19	PCSS G20083
TIIGP2-LA O-C03	International Tourism Standards Specialist	121,000.00	121,000.00	ICS	Q2 / 2019	01-AUG-19	PCSS G20821
TIIGP2-LA O-C13	International Urban Planning and Design Specialist	93,290.00	93,258.00	ICS	Q2 / 2019	06-NOV-19	PCSS G21188
TIIGP2-LA O-C07	International Financial Management & Procurement Specialist	64,880.00	75,000.00	ICS	Q3 / 2018	14-FEB-19	PCSS G20085
TIIGP2-LA O-C08	National Financial Management & Procurement Specialist	21,372.00	25,000.00	ICS	Q3 / 2018	14-FEB-19	PCSS G50086
TIIGP2-LA O-C06	National Gender Specialist	49,500.00	49,500.00	ICS	Q2 / 2019	22-AUG-19	PCSS G20822
TIIGP2-LA O-C11	National Internal Auditor	99,994.00	102,904.00	ICS	Q4 / 2018	14-FEB-19	PCSS G20087
TIIGP2-LA O-C10	National Monitoring and Evaluation Specialist	53,500.00	53,500.00	ICS	Q2 / 2019	22-OCT-19	PCSS G21187
TIIGP2-LA O-C17	National Tourism Destination Development and Management Specialist	122,000.00	128,000.00	ICS	Q3 / 2020	03-SEP-20	PCSS G22209
TIIGP2-LA O-C04	National Tourism Specialist/Deputy Team Leader	217,875.00	242,000.00	ICS	Q3 / 2018	14-FEB-19	PCSS G20084

## D. National Competitive Bidding

### A. Regulation and Reference Documents

1. The procedures to be followed for National Competitive Bidding (NCB) shall be those set forth for "Public Bidding" in Prime Minister's Decree No. 03/PM of the Lao People's Democratic Republic, effective 09 January 2004, and Implementing Rules and Regulations effective 12 March 2004 with update 0861/MOF of 05 May 2009 entitled "Amendments of Some Articles of Implementing Rules and Regulations on Decree Of Government Procurement Of Goods, Works, Maintenance And Services", with the clarifications and modifications described in the following paragraphs required for compliance with the provisions of the Procurement Guidelines.

### B. Procurement Procedures

**1. Procurement Plan**

2. Contract packages subject to NCB procedures will be those identified as such in the project Procurement Plan. Any changes to the method of procurement from those provided in the Procurement Plan shall be made through updating of the Procurement Plan, and only with prior approval of ADB.

**2. Eligibility**

3. The eligibility of bidders shall be as defined under Section I of the Procurement Guidelines; accordingly, no bidder or potential bidder should be declared ineligible for reasons other than those provided in Section I of the Guidelines, as amended from time to time.

**3. Preferences**

4. No preference of any kind shall be given to domestic bidders or for domestically manufactured goods.

5. Suppliers and contractors shall not be required to purchase local goods or supplies or materials.

**4. National Sanctions List**

6. National sanctions lists may be applied only with prior approval of ADB.

**5. Advertising**

7. The posting of NCB specific notices for contracts valued at less than \$1 million on ADB's website is not required but is highly recommended.

**C. Procurement Documents**

**6. Use of Harmonized Documents**

8. The harmonized standard bidding documents entitled "Procurement of Works, NCB-SBD, Harmonized Bidding Documents, December 2015" and "Procurement of Goods, NCB-SBD, Harmonized Bidding Documents, December 2015" approved by the Ministry of Finance through 3246/MOF dated 28 September 2015, and approved by ADB by *OSFMD/OSP2* dated 7 June 2016, shall be used for all procurement by NCB unless exceptions are specifically identified in the Procurement Plan.

**7. Rejection of all Bids and Rebidding**

9. Bids shall not be rejected and new bids solicited without ADB's prior concurrence.

**8. Disclosure of Decisions on Contract Awards**

10. At the same time that notification on award of contract is given to the successful bidder, the results of the bid evaluation shall be published in a local newspaper or well-known freely accessible website identifying the bid and lot numbers and providing information on (i) name of each Bidder who submitted a Bid, (ii) bid prices as read out at bid opening, (iii) name of bidders whose bids were rejected and the reasons for their rejection, (iv) name of the winning Bidder, and the price it offered, as well as the duration and summary scope of the contract awarded. The executing agency/implementing agency shall respond in writing to unsuccessful bidders who seek explanations on the grounds on which their bids are not selected.

**9. ADB Policy Clauses**

11. A provision shall be included in all NCB works and goods contracts financed by ADB requiring suppliers and contractors to permit ADB to inspect their accounts and records and other documents relating to the bid submission and the performance of the contract, and to have them audited by auditors appointed by ADB.

12. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that the Borrower shall reject a proposal for award if it determines that the bidder recommended for award has, directly or through an agent, engaged in corrupt, fraudulent, collusive, or coercive practices in competing for the contract in question.

13. A provision shall be included in all bidding documents for NCB works and goods contracts financed by ADB stating that ADB will declare a firm or individual ineligible, either indefinitely or for a stated period, to be awarded a contract financed by ADB, if it at any time determines that the firm or individual has, directly or through an agent, engaged in corrupt, fraudulent, collusive, coercive or obstructive practices or any integrity violation in competing for, or in executing, ADB-financed contract.

